

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Applicant: Suad Efendic

Serial No.: 09/400,802

Filed: September 22, 1999

For: "Use of GLP-1 or Analogs in
Treatment of Stroke"

Group Art Unit: 1654

Examiner: A. Gupta

Confirmation No.: 3827

CERTIFICATE OF ELECTRONIC FILING

I hereby certify that this correspondence
is being sent via electronic filing to the
United States Patent and Trademark
Office on this date:

September 22, 2006



Herbert D. Hart III
Registration No. 30,063
Attorney for Applicant

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

As a means of complying with the duty of disclosure, Applicant submits an "Information Disclosure Statement By Applicant" on a Form PTO-SB/08A and provides a copy of each of the listed non-U.S. patent documents for consideration by the Examiner.

This Statement is being submitted during the period specified in 37 C.F.R. § 1.97(c). Accordingly, please charge the amount of \$180.00, the fee under 37 C.F.R. § 1.17(p), and charge any additional fees which may be required by this or any other related paper, or credit any overpayment to Deposit Account 13-0017 in the name of McAndrews, Held & Malloy, Ltd.

Respectfully submitted,

McANDREWS, HELD & MALLOY, LTD.

By

Herbert D. Hart III / M.E.

Herbert D. Hart III
Registration No. 30,063
Attorney of Record
34th Floor
500 West Madison
Chicago, Illinois 60661
(312) 775-8000

Dated: September 22, 2006

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

| | |
|------------------------|--------------|
| Application Number | 09/400,802 |
| Filing Date | 09/22/1999 |
| First Named Inventor | Suad Efendic |
| Group Art Unit | 1654 |
| Examiner Name | A. Gupta |
| Attorney Docket Number | 51006US01 |

U.S. PATENT DOCUMENTS

| Examiner Initial* | Cite No. ¹ | Document Number Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-------------------|-----------------------|--|--------------------------------|--|---|
| | A1 | 5,574,008 | 11-12-1996 | Johnson et al. | |
| | A2 | 6,747,006 | 06-08-2004 | Efendic | |
| | A3 | 6,277,819 | 08-21-2001 | Efendic | |
| | | | | | |
| | | | | | |
| | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|--------------------|-----------------------|--|--------------------------------|--|---|----------------|
| | | | | | | |
| | | | | | | |
| | | | | | | |

OTHER ART -- NON PATENT LITERATURE DOCUMENTS

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

| Examiner Initials* | Cite No. ¹ | |
|--------------------|-----------------------|--|
| | C1 | HACKE et al., Critical Care Of Acute Ischemic Stroke, <i>Intensive Care Med.</i> , 1995; 21:856-862 |
| | C2 | KREYMANN et al., "Glucagon-Like Peptide-1 7-36: A Physiological Incretin In Man," <i>Lancet</i> 1987; 330:1300-1303 |
| | C3 | LeMAY et al., Insulin Administration Protects Neurologic Function In Cerebral Ischemia In Rats, <i>Stroke</i> , 1988; 19:1411-1419 |
| | C4 | Report of the Expert Committee on the Diagnosis and Classification of Diabetes Mellitus, <i>Diabetes Care</i> , 1997, 20:1183-1197 |
| | C5 | WARNER et al., Insulin-Induced Normoglycemia Improves Ischemic Outcome In Hyperglycemic Rats, <i>Stroke</i> , 1992; 23:1775-1780 |
| | C6 | WEIR et al., Is Hyperglycaemia An Independent Predictor Of Poor Outcome After Acute Stroke? Results Of A Long Term Follow Up Study, <i>Brit. Med. J.</i> , 1997; 314:1303-1306 |
| | C7 | Office Action dated May 31, 2006 in U.S. Serial No. 11/369,346 |

**EXAMINER
SIGNATURE****DATE CONSIDERED**

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1800-786-9199) and select option 2.